*PART III.1.C*

Supplementary Information Sheet on regional operating aid schemes

*This supplementary information sheet must be used for the notification of any operating aid schemes covered by the Authority´s Guidelines on regional State aid for 2014-2020*[[1]](#footnote-1)*(the “RAG”).*

1. Scope

 (a) Please specify what kind of operating aid will be granted:

 (i.) [ ]  Operating aid to reduce certain specific difficulties faced by SMEs in “a” areas

 (ii.) [ ]  Operating aid to compensate additional costs in the outermost regions

 (iii.) [ ]  Operating aid to reduce depopulation in very sparsely populated areas

 (iv.) [ ]  Other. Please specify:

 Click here to enter text.

 (b) Does the notified aid scheme provide that no operating aid will be granted to the following categories of companies and sectors? In each case, please list the relevant provision in the legal basis of the scheme.

|  |  |  |
| --- | --- | --- |
| Excluded categories of companies and sectors | Undertakings in difficulty[[2]](#footnote-2) | Relevant provision in the legal basis of the scheme |
| The steel sector[[3]](#footnote-3) | [ ]  Yes | Click here to enter text. |
| Synthetic fibres sector[[4]](#footnote-4) | [ ]  Yes | Click here to enter text. |
| The transport sector | [ ]  Yes | Click here to enter text. |
| The energy sector | [ ]  Yes | Click here to enter text. |
| Section K “Financial and insurance activities” of the NACE Rev.2 statistical classification of economic activities | [ ]  Yes | Click here to enter text. |
| NACE 70.10 “Activities of head offices” and NACE 70.22 “Business and other management consultancy activities” | [ ]  Yes | Click here to enter text. |

2. Basic elements of the scheme

 2.1. Please provide a description of the main elements of the scheme and its objectives:

 Click here to enter text.

 2.2. Please indicate which forms of aid are allowed under the scheme:

 (a) [ ]  Grants. Please provide the reference to the relevant provisions of the legal basis:

 Click here to enter text.

 (b) [ ]  Soft loans. Please indicate how the grant equivalent will be calculated and provide the reference to the relevant provisions of the legal basis:

 Click here to enter text.

 (c) [ ]  Guarantees. Please indicate how the grant equivalent will be calculated and provide the reference to the relevant provisions of the legal basis:

 Click here to enter text.

 (d) [ ]  Tax measures. Please specify which ones and indicate how the grant equivalent will be calculated. Please also provide the reference to the relevant provisions of the legal basis:

 Click here to enter text.

 (e) [ ]  Other. Please specify and indicate how the grant equivalent will be calculated. Please also provide the reference to the relevant provisions of the legal basis:

 Click here to enter text.

 2.3. The individual aid under the notified scheme will be granted:

 (a) [ ]  automatically, should the conditions of the scheme be fulfilled

 (b) [ ]  on a discretionary basis, following a decision of the authorities.

 Should the aid be granted on a case by case basis, please provide a short description of the criteria that will be applied. If administrative guidance for the assessment of the aid application exists, please attach a copy:

 Click here to enter text.

 2.4. Will the aid scheme be co-financed by the ESI Funds? If so, please explain under which operational programmes ESI Funds finance will be obtained. Please also indicate the amount of ESI Funds finance that will be involved.

 Click here to enter text.

3. Compatibility of the aid

 3.1. Contribution to regional objective and incentive effect:

*For aid to reduce certain specific difficulties faced by SMEs*[[5]](#footnote-5)*in “a” areas*

 3.1.1. Please list the specific difficulties faced by SMEs in the region concerned to be addressed by the scheme (paragraph 41 RAG) and demonstrate the existence and importance of those difficulties (paragraph 42 RAG).

 Click here to enter text.

 3.1.2. Please explain why the difficulties listed in point 3.1.1 cannot be overcome by investment aid and thus the notified operating aid scheme is needed (paragraph 42 RAG):

 Click here to enter text.

*For aid to reduce depopulation in very sparsely populated areas*

 3.1.3. Please demonstrate the risk of depopulation of the relevant area in the absence of operating aid (paragraph 43 RAG):

 Click here to enter text.

3.2. Appropriateness of the scheme

 Please indicate why the aid proposed is considered appropriate to achieve the objective of the scheme. Please explain in particular why other less distortive policy instruments and other less distortive types of aid instrument are not appropriate to achieve the same positive contribution to regional development (paragraphs 47, 52, 53 and 54 RAG):

 Click here to enter text.

3.3. Proportionality of the scheme

*For all types of operating aid*

 3.3.1. Please determine the eligible costs that are fully attributable to the problems the aid is intended to address (paragraph 105 RAG):

 Click here to enter text.

 3.3.2. Please confirm that depreciation charges and the costs of financing included in the eligible costs relevant to regional investment aid will not be included in the eligible costs for operating aid (paragraph 105 RAG), and provide the reference to the relevant provision of the legal basis:

 Click here to enter text.

 3.3.3. Please describe the compensation model (paragraph 52 RAG) that will be adopted and how that model will allow an appropriate calculation of the aid amount, ensuring that there is no overcompensation, as defined in paragraph 105 RAG:

 Click here to enter text.

 3.3.4. Please indicate whether operating aid is also granted through other operating aid schemes in the region, by mentioning the relevant State aid reference of those schemes.

 Click here to enter text.

 3.3.5. In case other operating aid schemes are applicable in the same region, please explain how it is ensured that operating aid granted under different operating aid schemes does not lead to overcompensation:

 Click here to enter text.

3.4. Avoidance of undue negative effects on competition and trade

 Please explain why it is unlikely that the aid granted under the scheme will create very significant distortions of competition in the market (paragraph 134 RAG):

 Click here to enter text.

4. Other information

 Please provide any other information that is of relevance to assess the notified aid measure under the RAG:

1. The Authority’s Guidelines on regional State aid for 2014-2020, as adopted by Decision [No 407/13/COL](http://eur-lex.europa.eu/legal-content/EN/AUTO/?uri=uriserv:OJ.L_.2014.166.01.0044.01.ENG&toc=OJ:L:2014:166:TOC), published in OJ L 166, 5.6.2014, p. 44 and EEA Supplement No 33 on the same date. [↑](#footnote-ref-1)
2. The Authority´s Guidelines on State aid for rescuing and restructuring non-financial undertakings in difficulty, as adopted by Decision No 321/14/COL, published in OJ L 271, 16.10.2015 p. 35 and EEA Supplement No 62, 15.10.2015. [↑](#footnote-ref-2)
3. As defined in Annex II to Guidelines on regional State aid for 2014–2020. [↑](#footnote-ref-3)
4. As defined in Annex II(a) to Guidelines on regional State aid for 2014–2020. [↑](#footnote-ref-4)
5. SMEs means undertakings that fulfil the conditions concerning the definition of micro, small and medium-sized enterprises laid down in the Authority’s Guidelines on aid to micro, small and medium-sized enterprises, as adopted by Decision 94/06/COL, published in OJ L 36, 5.2.2009, p. 2 and in the EEA Supplement thereto No 6 on the same date. [↑](#footnote-ref-5)